

Receipt

PATENT  
85CF-00113

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicants: Keyes et al.

Serial Number: 09/737,628

Filed: December 15, 2000

For: METHODS AND SYSTEMS FOR  
EFFICIENTLY SAMPLING PORTFOLIOS  
FOR OPTIMAL UNDERWRITING

:  
: Group Art Unit: 2164  
:  
: Examiner: Unassigned  
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John S. Beulick, Reg. No. 33,338


REQUEST FOR CORRECTION OF FILING RECEIPT

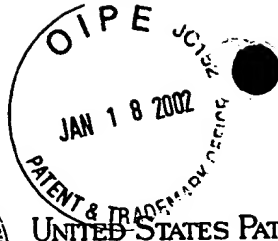
Commissioner for Patents  
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Washington, DC 20231

Sir:

Please correct the Updated Filing Receipt (copy attached) to reflect the correct number of formal drawings submitted to the Patent Office. On March 26, 2001, applicants submitted 14 formal drawings and asked that the patent application be amended to reflect this number.

Respectfully submitted,

  
John S. Beulick, Reg. No. 33,338  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
(314) 621-5070



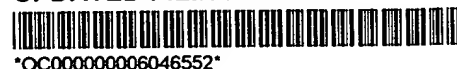
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/737,628	12/15/2000	2164	912	85CF-00113	15 14	24	3

CONFIRMATION NO. 7903

## UPDATED FILING RECEIPT



\*OC000000006046552\*

John S. Beulick  
Armstrong Teasdale LLP  
Suite 2600  
One Metropolitan Sq.  
St. Louis, MO 63102

Date Mailed: 05/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tim Kerry Keyes, West Redding, CT;  
Christopher Donald Johnson, Clifton Park, NY;  
Richard Paul Messmer, Rexford, NY;  
Marc Thomas Edgar, Glenmont, NY;  
Navneet Kapoor, Tokyo, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,957 12/30/1999

## Foreign Applications

If Required, Foreign Filing License Granted 01/24/2001

Projected Publication Date: 06/20/2002

Non-Publication Request: No

Early Publication Request: No

Title

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ENTERED  
Date: 5-14-01  
By: *em*  
17243-11, NP

Methods and systems for efficiently sampling portfolios for optimal underwriting

Preliminary Class

705

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Data entry by : BETEMARIAM, TIRUAYENET Team : OIPE

Date: 05/07/2001



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Bib Data Sheet

CONFIRMATION NO. 7903

<b>SERIAL NUMBER</b> 09/737,628	<b>FILING DATE</b> 12/15/2000 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> 85CF-00113	
<b>APPLICANTS</b> Tim Kerry Keyes, West Redding, CT; Christopher Donald Johnson, Clifton Park, NY; Richard Paul Messmer, Rexford, NY; Marc Thomas Edgar, Glenmont, NY; Navneet Kapoor, Tokyo, JAPAN;					
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/173,957 12/30/1999					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 01/24/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CT	<b>SHEETS DRAWING</b> 14	<b>TOTAL CLAIMS</b> 24	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> John S. Beulick Armstrong Teasdale LLP Suite 2600 One Metropolitan Sq. St. Louis ,MO 63102					
<b>TITLE</b> Methods and systems for efficiently sampling portfolios for optimal underwriting					
<b>FILING FEE RECEIVED</b> 912	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		